

Passport size photograph. Paste one here, attach one to the form. Write ARN and PAN on the back of the photo

NISM MFD-CPE : CIEL/MFD

Registration Form

Candidate Name	
Gender	
Date of Birth	
Address 1	
Address 2	
Address 3	
City	
Pincode	
Contact No	Mobile No
Email ID (mandatory) Please write	
in capital letters ^	
Qualification	Graduate Post Graduate
Occupation	
Designation	
Employer	
PAN No (mandatory)	
ARN No (mandatory)*/AMFI-NISM	
certificate no	
NSR No. (wherever applicable)	
CPE Program Date	
CPE Program City	
Name of Trainer	
Details of DD (Payable to National	
Institute of Securities Markets,	
Mumbai)	
I hereby confirm that I am aware	of the supporting documents required to be submitted for issuance of
CPE certificate by NISM and that NISM reserves the right to defer/cancel issuance of my CPE certificate at	
any point of time in case any discrepancies are found in either the fee paid or documents submitted by me	
at the CPE venue. I also confirm that I have read the Eligibility Criteria and I am fully aware that if any	
document/s/information submitted by me during the registration for the CPE Program is/are found to be	
incorrect/incomplete/inadequate/invalid, I will neither be entitled to claim refund of the registration fee	
paid nor will I be entitled to claim a certificate for the CPE program attended by me.	
Signature of candidate	
^ E-receipts will be issued to you for the fees paid to NISM for the MFD CPE.	
* In case of employees who do not have ARN cards in their own name, Copy of AMFI/NISM Certificate is	
mandatory.	
NICM 5th Floor LITE House Die	t no 92 Soctor 17 Vochi Novi Mumboi 400 705 Fmail ide ano@nigra as in
NISM, 5th Floor, UTI House, Plot no 82, Sector 17 Vashi, Navi Mumbai 400 705. Email id: cpe@nism.ac.in CIEL, Sholay Premises, Seven Bungalows, Raheja Complex, Andheri(W) Mumbai – 400 061. Email id:	
cpe@ciel.co.in	

Eligibility Criteria

Type I: Any person who has appeared for the NISM/AMFI Certification Examination(s) for Mutual Funds and/or the AMFI Refresher Course/ NISM CPE for Mutual Funds and the certificate for such examination/refresher course/ CPE is still valid may appear for NISM CPE for Mutual Fund Distributors on submission of required documents.

Documents needed to be SUBMITTED For Type I:

- 1. Photocopy of PAN card
- 2. Photocopy of either of the below:
 - a. ARN Card
 - b. NISM or AMFI Examination/ Refresher Course/ CPE Certificate

Type II (a): Any person who has attained the age of 50 years as on May 31st 2010 whether holding or not holding an ARN card and/or certificate for NISM Certification/ CPE or AMFI Examinations/ Refresher Courses for Mutual Funds, may appear for NISM CPE on submission of required documents.

Documents needed to be SUBMITTED for Type II (a):

1. Photocopy of PAN card

Type II (b): Any person having at least 10 years of total experience as on May 31st 2010 in the sale and distribution of mutual funds, whether holding or not holding an ARN card and/or certificate for NISM Certification/ CPE or AMFI Examinations/ Refresher Courses for Mutual Funds, may appear for NISM CPE on submission of required documents.

Documents needed to be SUBMITTED for Type II (b):

- 1. Photocopy of PAN card
- 2. Photocopy of either of the below:
 - a. ARN Card (not mandatory)
 - b. NISM or AMFI Examination/ Refresher Course/ CPE Certificate (not mandatory)
- 3. A letter on Letter Head of a Mutual Fund/ Asset Management Company/National Distribution houses/Registrar and Transfer Agent for Mutual Funds, and signed by an authorized signatory certifying that the candidate has been employed or engaged in the sale and/or distribution of mutual fund products for a period of atleast 10 years.

Please note that candidates are required to <u>CARRY IN ORIGINAL</u> the above mentioned documents (as listed for each Type) to the CPE venue for verification in order to obtain permission for appearing for CPE for Mutual Fund Distributors.

Please note that if neither the ARN Card nor the relevant certificate is presented for validation along with submission of a photocopy, request for inclusion of such details in NISM CPE Certificate will not be entertained.

Please also note that the extended sixth month window period from the date of expiry of the certificate(s) for compliance with CPE requirements will be discontinued from January 1, 2011 onwards as per SEBI notification. From January 1, 2011 onwards, CPE requirements have to be complied within the validity period of the certificate.